



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: To be assigned  
Examiner: Paula Mccray



PATENT APPLICATION of:

Applicant(s): Yoshinori SHIZUNO )

Serial No.: 10/697,315 )

Filed: October 31, 2003 )

For: SEMICONDUCTOR DEVICE WITH  
SIMPLIFIED CONSTITUTION )

Atty Ref.: OHG 141 )

**RESPONSE TO  
NOTICE UNDER  
37 CFR 1.251  
(UNLOCATABLE FILE)**

Mail Stop: **Duplicates**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the United States Patent and Trademark Office Notice under 37 CFR 1.251 mailed on August 15, 2005, please find attached hereto a list of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents) and a statement that the copy of such papers is a complete and accurate copy of applicant's record of such papers; and a copy of applicant's record of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents). A copy of the U.S. Patent and Trademark Office Notice mailed on August 15, 2005, also is enclosed.

Respectfully submitted,

Nick Bromer

Nicholas S. Bromer  
Reg. No. 33,478  
RABIN & BERDO, PC  
Customer Number 23995  
Telephone: (202) 371-8976  
Facsimiles: (202) 408-0924/5297

September 27, 2005  
Date

NSB:ss

LIST OF ALL OF THE CORRESPONDENCE BETWEEN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE AND APPLICANT  
for application number 10/697,315, filed October 31, 2003  
(except U.S. patent documents):

**Documents received by the applicant from the U.S.P.T.O:**

1. 08/15/2005: --Office Communication - Notice under 37 CFR 1.251, mailed August 15, 2005. (3 pages)
2. 05/26/2004: --Notice of Recordation, Recorded Cover Sheet and Assignment Document (4 pages)

**Documents submitted by the applicant in the U.S.P.T.O:**

3. 09/27/2005: --Response to Notice under 37 CFR 1.251, filed currently herewith (1 page), and Post Card dated 09/27/2005.
4. 07/18/2005: --Status Request and U.S.P.T.O. Date-stamped Post Card. (2 page)
5. 10/27/2004: --U.S.P.T.O. Date-stamped Post Card, Information Disclosure Statement / Form PTO-1449, filed October 27, 2004. (4 pages)  
--One Japanese patent publication with English-language abstracts. (52 pages)  
--Two Japanese-language articles. (14 pages)
6. 08/31/2003: --Post Card of October 31, 2003 (2 copies: one with U.S.P.T.O. date-stamped).  
--RABIN & BERDO, P.C. Check number 13690 in the amount of \$896.00 for new application and assignment recordation.  
--New Patent Application Transmittal. (1 page)  
--Recordation Cover Sheet and Assignment. (2 pages)  
--Specification, Claims and Abstract. (88 pages)  
--28 Sheets of Formal Drawings, Figures 1 through 30. (28 pages)  
--Declaration and Power of Attorney. (3 pages)  
--Information Disclosure Statement/Form PTO-1449, filed October 31, 2003, with filing of the above-referenced application; and five Japanese patent publications with their English-language abstracts, listed in the Form PTO-1449.  
--First page of the priority document No. 2002-325771, dated November 8, 2002 (the priority document was previously submitted with filing of the above-referenced application on October 31, 2003 – see New Application Transmittal, as well as the U.S.P.T.O. date-stamped postcard).

**STATEMENT OF ACCURACY**

The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

  
Nicholas S. Bromer – Reg. No. 33,478

September 27, 2005  
Date



## COMMISSIONER FOR PATENTS

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

In re Application of: N/A

Application No.: 10/697315

Effective Date: 10/31/2003

Title: N/A

Direct to: U. S. Patent and Trademark Office  
Mail Stop: Duplicates  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**NOTICE UNDER 37 CFR 1.251 – Pending Application****Statement (check the appropriate box):**

- The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.
- The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).
- The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.
- Applicant does not possess any record of the correspondence between the Office and the applicant for the above identified application.

September 27 2005  
Date

Nick Brower  
Signature

NICK BROWER  
Typed or printed name

**A Copy of this notice should be returned with the reply.**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### NOTICE UNDER 37 CFR 1.251 - Pending Application

The file on the cover sheet cannot be located after a reasonable search. Therefore, the Office is initiating the reconstruction of the file of the above-identified application pursuant to the provisions of 37 CFR 1.251.

Applicant is hereby requested to provide a copy of applicant's record (if any) of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents), a list of such correspondence, and a statement that the copy is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and whether applicant is aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

The following paper(s) pertaining to the above-identified application cannot be located after a reasonable search:

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Therefore, the Office is initiating the reconstruction of such paper(s) pursuant to the provisions of 37 CFR 1.251.

Applicant is hereby requested to provide a copy of the paper(s) listed above and a statement that the copy of such paper(s) is a complete and accurate copy of applicant's record of such paper(s).

If applicant does not possess any record of the correspondence between the Office and the applicant for the file listed on the cover sheet or any copy of the paper(s) listed, applicant must reply to this notice by providing a statement that applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

A printout from PALM of the contents of the file of the above-identified application is included with this notice.

Direct the reply to this notice to:

U. S. Patent and Trademark Office  
Mail Stop: Duplicates  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Direct questions concerning this notice to:

Image Assistance Center/ Customer Service

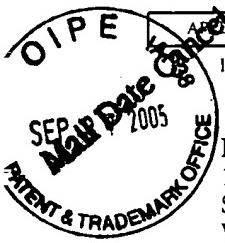
1-(888)-786-0101

PTO Doc. Code: R251.NTC (Rev. 03/05)



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)



APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/697,315	7590	08/15/2005		9863
			EXAMINER	
			ART UNIT	PAPER NUMBER

RABIN & BERDO, PC  
1101 14TH STREET, NW  
SUITE 500  
WASHINGTON, DC 20005

DATE MAILED: 08/15/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIPT NO. 15695

DATE:

October 31, 2003

ATTY. DOCKET:

OHG 141

APPLICANT(S): Yoshinori SHIZUNO

SERIAL NO.: To Be Assigned

FILED: October 31, 2003

FOR: SEMICONDUCTOR DEVICE WITH SIMPLIFIED CONSTITUTION  
PAPERS FILED:

- NEW PATENT APPLICATION TRANSMITTAL LETTER
- SPECIFICATION, CLAIMS AND ABSTRACT (88 PAGES)
- 28 SHEETS OF DRAWINGS FIGS. 1-3
- INVENTOR DECLARATION AND POWER OF ATTORNEY (3 PAGES)
- ASSIGNMENT AND RECORDATION COVER SHEET (2 PAGES)
- INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND CREFS
- CLAIM FOR PRIORITY AND PRIORITY DOCUMENT
- CHECK IN AMOUNT \$810.00

FEE: \$896.00 (CHECK NO.: 13690)

PLEASE DATE STAMP AND RETAIN  
EARLY NOTIFICATION OF SERIAL NUMBER 